



INSTRUCTION TO PURCHASE

SECTION I: ACCOUNT DETAILS

Investment Account Name		EWBC Store Name
Nominated Settlement Account Number	Broker Settlement Account Number	Currency (√) <input type="checkbox"/> PHP <input type="checkbox"/> USD <input type="checkbox"/> Others _____

SECTION II: INVESTMENT DETAILS - CLIENT ORDER/S

Issue Name	Face Amount	Other condition (price/yield)	Type of Order (√)		Validity of Order (√)	
			Fill or Kill (All or Nothing)	Fill and Kill (partial volume)	Good 'til cancelled	Day Order
1						
2						
3						
4						
5						
Total		Note: Specific details of the securities and summary of settlement will be provided separately.				

CLIENT SUITABILITY (√)

CSAF Date	Client Risk Profile (CRP)	<input type="checkbox"/> Conservative	<input type="checkbox"/> Balanced	<input type="checkbox"/> Moderately Aggressive	<input type="checkbox"/> Highly Aggressive
		<input type="checkbox"/> Less Financially Sophisticated	<input type="checkbox"/> Financially Sophisticated		

SECTION III: A. FEES AND CHARGES - EWBC

PHP BONDS	<p>Broker's Commission</p> <p>1. Transaction tenor of over one (1) year, minimum commission shall be at 12.5 basis points of the face value or Php200.00 whichever is higher.</p> <p>2. Transaction tenor of 1 year or less, the commission shall be a flat rate at 10 basis points of the face value computed on actual number of days/360 or Php200.00 whichever is higher.</p> <p>Other Fees</p> <p>1. Bank Certification: Php200.00</p> <p>2. Administrative Fees</p> <p>2.1. Charges relating to Peso Denominated Bond holdings covering, printing, mailing/courier/messengerial costs.</p> <p>2.2. Administrative Fee shall be based on the face value and subject to a cap on a per security level and casting/ relationship. Administrative Fee shall be deducted from the Quarterly or Semi-Annual coupon proceeds of the investment. Please refer to the table below for the schedule of fees and maximum cap.</p> <p>2.3. For Sale Transaction, the Administrative Fee shall be computed pro-rated based on the number of days from purchase date or last coupon payment date whichever is applicable up to Settlement Date.</p> <table border="1"> <thead> <tr> <th>FACE VALUE PURCHASED</th> <th>FEE PER ANNUM</th> </tr> </thead> <tbody> <tr> <td>Php 20,000,000 and up</td> <td>Php 5,000</td> </tr> <tr> <td>Php 15,000,000 to PhP 19,999,999</td> <td>Php 3,500</td> </tr> <tr> <td>Php 10,000,000 to PhP 14,999,999</td> <td>Php 2,500</td> </tr> <tr> <td>below Php 10,000,000</td> <td>2.5 basis points (0.025% p.a.)</td> </tr> </tbody> </table>	FACE VALUE PURCHASED	FEE PER ANNUM	Php 20,000,000 and up	Php 5,000	Php 15,000,000 to PhP 19,999,999	Php 3,500	Php 10,000,000 to PhP 14,999,999	Php 2,500	below Php 10,000,000	2.5 basis points (0.025% p.a.)
	FACE VALUE PURCHASED	FEE PER ANNUM									
Php 20,000,000 and up	Php 5,000										
Php 15,000,000 to PhP 19,999,999	Php 3,500										
Php 10,000,000 to PhP 14,999,999	Php 2,500										
below Php 10,000,000	2.5 basis points (0.025% p.a.)										
USD/ FCY BONDS	<p>1. Broker's Commission (USD/FCY) - Minimum commission shall be at 25 basis points to a maximum of 75 basis points of the face value applied as a spread.</p> <p>2. Broker's Commission (ODB) - Minimum commission shall be at 14.75 basis points of the face value.</p>										

SECTION III: B. FEES AND CHARGES - 3rd Party Fees

PHP / USD / FCY BONDS	<p>PDEX (Philippine Dealing and Exchange Corporation)</p> <p>Matching Fee: If greater than one year: Face Amt x .000025; If less than one year: Face Amt x DTM/365 x .000025</p>
	<p>PDTC (Philippine Depository and Trust Corporation)</p> <p>Maintenance Fee for NoCD: 0.5 basis points (0.005%) of Face Value per Annum</p> <p>Note: To be deducted every coupon payment or for Sale Transaction, the Maintenance Fee shall be computed pro-rated based on the number of days from purchase date or last coupon payment date whichever is applicable up to Settlement Date.</p>
AD HOC FEES	<p>1. Investor Registration (Account Opening) : Php 100.00</p> <p>2. Trade Related Transfer Fee: Php 100.00</p> <p>3. Non-trade Transfer of Securities Fees : Php 100.00</p> <p>4. Registry Confirmation/SOA reprint : Php 50.00</p> <p>5. Certificate of Correction: Php 100.00</p>

SECTION IV: CLIENT'S DECLARATION

I/We (on behalf of the Client, if the client is not an individual) confirm the instructions indicated herein which are initiated entirely by me/us. I/We likewise confirm that I/we have not relied on the Bank to provide any advice or exercise any judgement about the merits of the transaction and/or the suitability of the security. No advice on investments has been given and I/we agree that I/we shall consult with my/our legal, regulatory, tax, business, investment, financial and accounting advisors to the extent deemed necessary. I/We authorize the Bank to execute and/or act in accordance with my/our instructions as my/our broker/dealer. If my/our instructions cannot be implemented, I/we shall not hold the Bank liable.

I/We further acknowledge that I/we have read and understood the Issue materials made available to me/us by the Bank and by entering this transaction, I/we fully accept the terms and conditions of the Instrument and understand the risks involved in the investment product/s stated in the Risk Disclosure Statement. I/We acknowledge that the Bank has no representation on the contents of the Issuer's Offering Circular/Summary of Terms/other materials provided and that neither did any of the Bank's directors, officers, stockholders and employees provide any warranty/ies on the accuracy of the contents of the same. I/we confirm that on my/our independent judgement, I/we find the Investment materials to be clear, accurate and fair.

I/We am/are aware that the purchase in the GS Primary Auction and/or Secondary Market that will be made by East West Banking Corporation (EWBC) pursuant to my/our instructions is subject to the actual availability of the instrument.

I/We commit to maintain sufficient funds in the Nominated Settlement Account (NSA)/Broker Settlement Account (BSA) to cover all obligations in connection with the investment purchase from which all payments and broker fees or in connection with securities brokering transactions shall be taken.


I/We agree that once I/we have submitted the Bond Order Form to the Bank, I/we cannot withdraw or cancel the order in the GS Primary Auction and/or Secondary Market once the transaction has been consummated. I/We further authorize the Bank to do the ff.:

1. Purchase Transaction – debit the actual Total Settlement Amount from my/our designated Nominated Settlement Account (NSA)/Broker Settlement Account (BSA) in accordance with BSP Cir 885 and 1048 Segregation of Customer Funds, inclusive of all fees and charges.
2. Coupon Payments – credit any/all coupon payments net of all Admin Fee and other related 3rd party fees to my/our designated NSA account as indicated in this Bond Order Form.


I/We am/are fully aware that EWBC- Securities, Derivatives and Foreign Exchange Distribution and Sales (SEDEX) Group as the Broker-Dealer will assist in Purchasing the investment from the GS Primary Auction and/or Secondary Market, Counterparty, Fund Manager and is not a Principal Issuer and that EWBC has no liability to the me/us (Client) in respect of the investment instrument. It is the my/our decision to order/purchase/transact herein through EWBC and shall hold free and harmless EWBC, its officers, directors, stockholders, employees, or successors-in-interest against any and all claims, demands, causes of action, obligations, liabilities whatsoever in law or in equity which the I/we, or any person in his/her behalf, may have, may have had or shall have with respect to the investment performance/loss and/or the instruction to purchase the investments, the intention being to completely, absolutely and finally release EWBC, its officers, directors, stockholders, employees and successors-in-interest from any and all liabilities arising or that may arise, wholly or partially, directly or indirectly, in connection with this Bond Order form/Confirmation Instruction and as a result of this Instruction to Purchase Investments, which includes the orders/instruction made by any one of my/our co-accountholders in cases of Joint "OR" accounts.

I/We are not U.S. citizens nor U.S. residents for purposes of FATCA (Foreign Account Tax Compliance Act) and neither am/are I/we investing/acting on behalf of a U.S Person and I/we shall inform you of any change in my/our tax status. I/We will inform EWBC no more than 30 days otherwise I/we are agreeing to bear the cost of tax reporting/penalties (if any).


I/We allow/authorize the transfer, disclosure and communication of any of my/our personal information relating to my/our investment transaction and other related Transaction on my/our investments, including this form with utmost confidentiality with accredited counterparties, Issuer, Selling Agent, Market Maker, Depository, Custodian, Fund Company/Fund Manager, broker third parties selected or external institutions accredited by the BSP and Securities and Exchange Commission (SEC) such as the Philippine Depository and Trust Corporation (PDTC), Bureau of Treasury (BTr), and other Government Offices/Agencies for lawful use, reportorial requirements of the FATCA/US IRS Regulations, and such other foreign acts and regulations that may hereafter be enacted and of which my/our account shall be a subject.




Signature over Printed Name of Authorized Signatory / Date



Signature over Printed Name of Authorized Signatory / Date



Signature over Printed Name of Authorized Signatory / Date



Signature over Printed Name of Authorized Signatory / Date

ADDENDUM 1: BANK USE ONLY

I confirm that I have not provided investment advice on the security/product and the instruction to purchase has been made by the Client(s) freely and independently.

Signature over Printed Name of SEC licensed FIMS SEDEX Officer / Date